



Universitat de Girona

Demic and cultural models of Neolithisation

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22nd Neolithic seminar: Modelling the process of Neolithisation

Univ. of Ljubljana

November 6th, 2015



Models of Neolithic transitions

- Demic diffusion = **spread of farming populations** = dispersal + net reproduction
- Cultural diffusion = **spread of ideas** = transmission of plants, animals and knowledge from farmers to hunter-gatherers (acculturation).
- Demic-cultural models

Cultural models

Cavalli-Sforza & Feldman (*book* 1979)

Boyd & Richerson (*book* 1985)

Fort (*PNAS* 2012)

Population numbers after (P') and before (P)
cultural transmission (during 1 generation):

$$\left\{ \begin{array}{l} \text{farmers (F): } P'_F = P_F + f \frac{P_F P_H}{P_F + \gamma P_H} \\ \text{hunter - gatherers (H): } P'_H = P_H - f \frac{P_F P_H}{P_F + \gamma P_H} \end{array} \right.$$

The wave-of-advance speed depends only on $C = f/\gamma$.
This is not surprising since when the first farmers arrive:

$$P_F \approx 0 \rightarrow P'_F \approx P_F + C P_F$$

C = number of hunter-gatherers becoming farmers per generation.

We call C the acculturation intensity.

Demic-cultural models

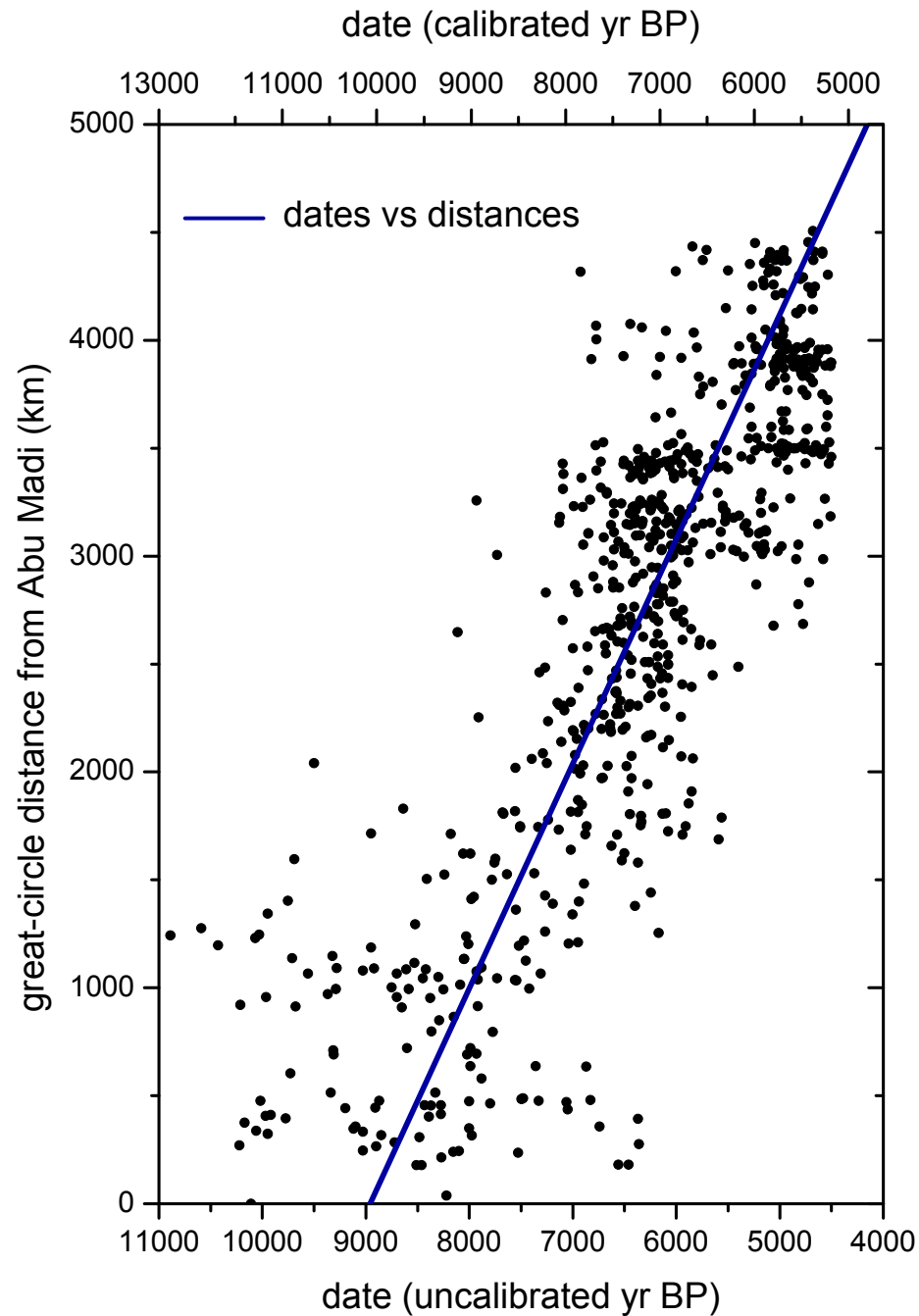
Fort (*PNAS* 2012)

Steps:

1. reproduction (logistic)
2. cultural transmission (acculturation)
3. dispersal (distance kernel)

The order of steps does not change the speed

This cycle is repeated many times (once per generation)



Up to now we have discussed models.

What is the observed speed?

0.9-1.3 km/yr

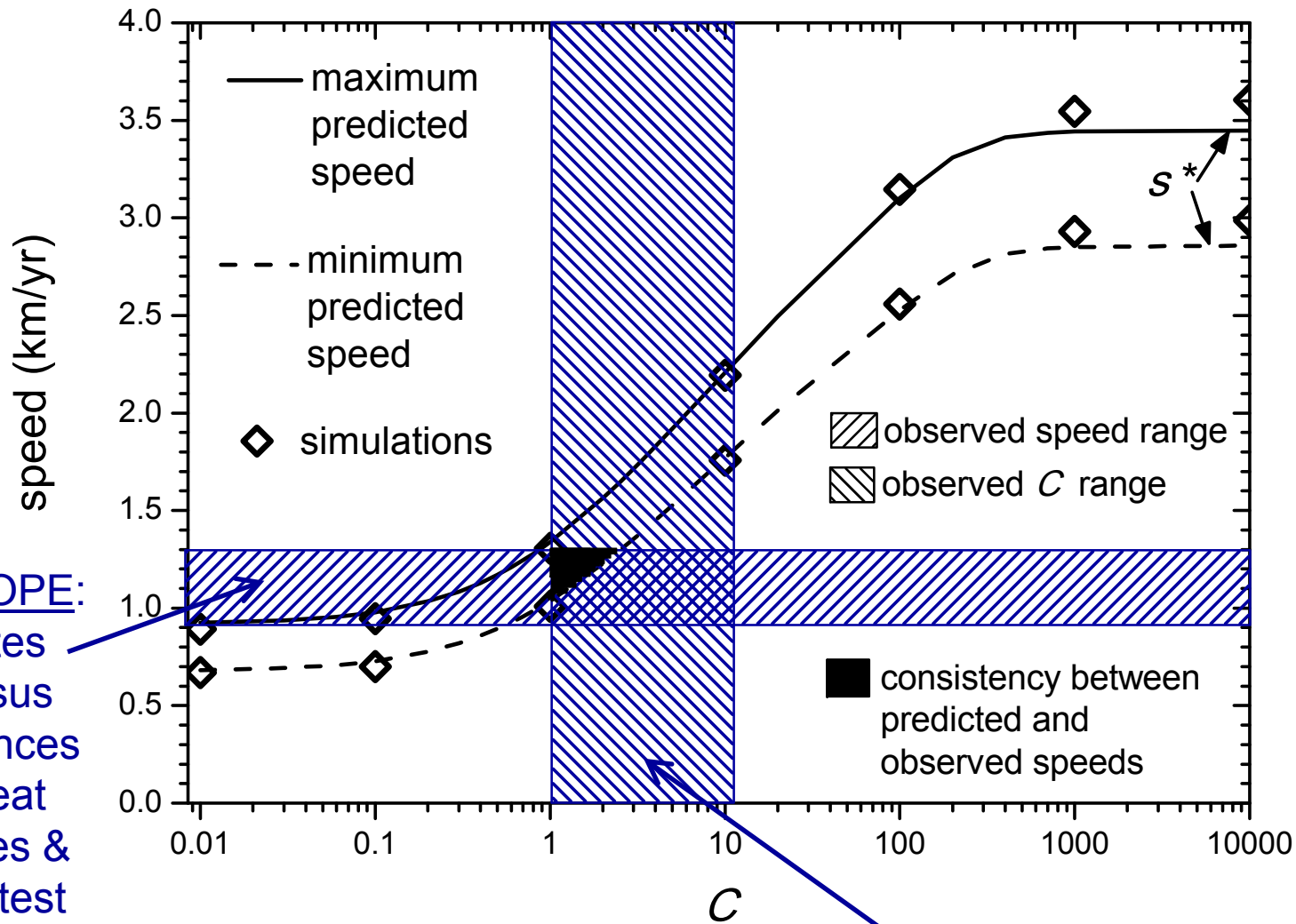
735 sites in Europe & Near East

$r = 0.83$ (highest- r origins, great circles & shortest paths)

Pinhasi, Fort & Ammerman,

PLoS Biol. (2005)

Effect of acculturation intensity C on the front speed in Europe



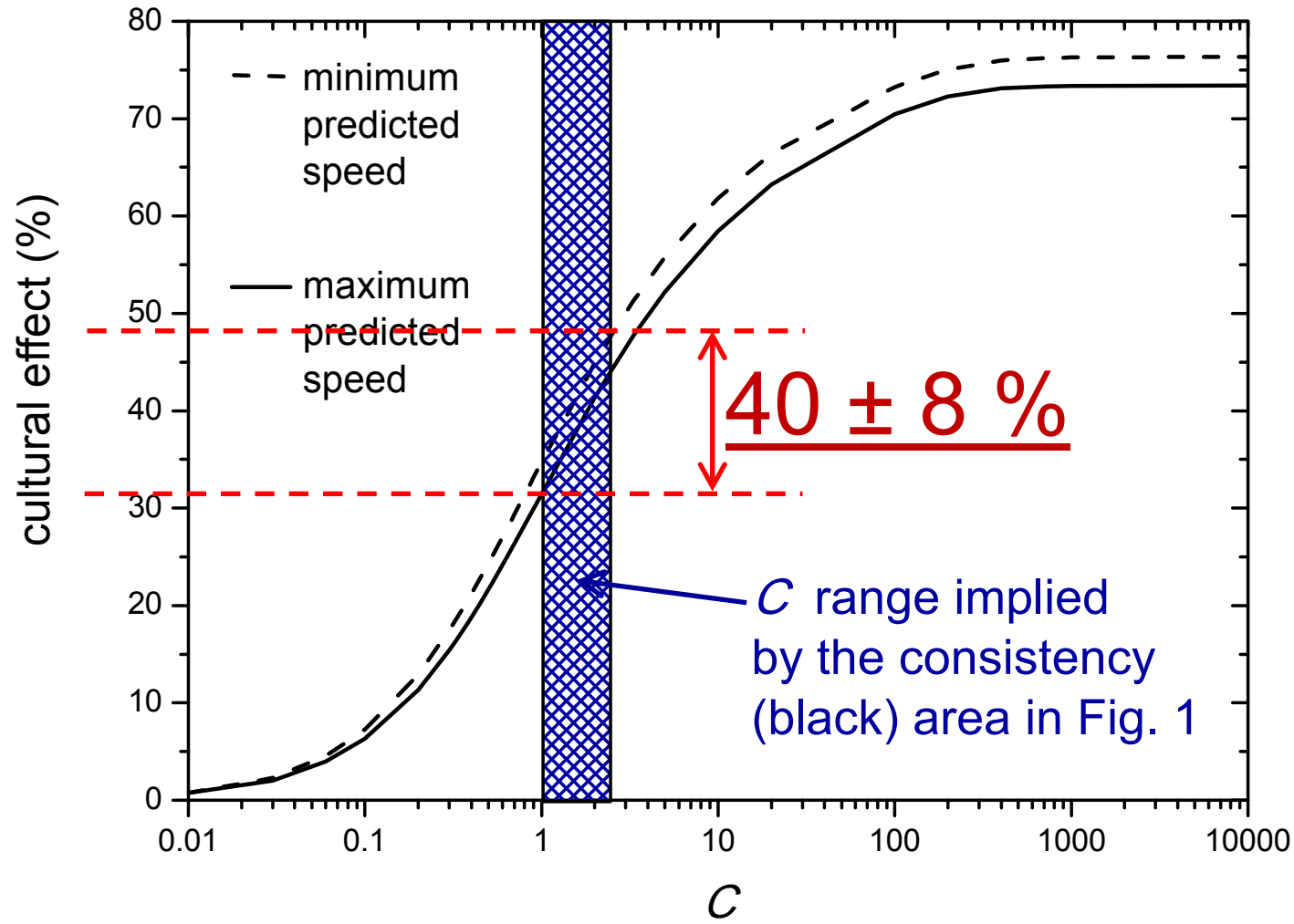
EUROPE:
Dates
versus
distances
(great
circles &
shortest
paths)

Ache hunter-gatherers (Paraguay)

Fort,
PNAS
(2012)

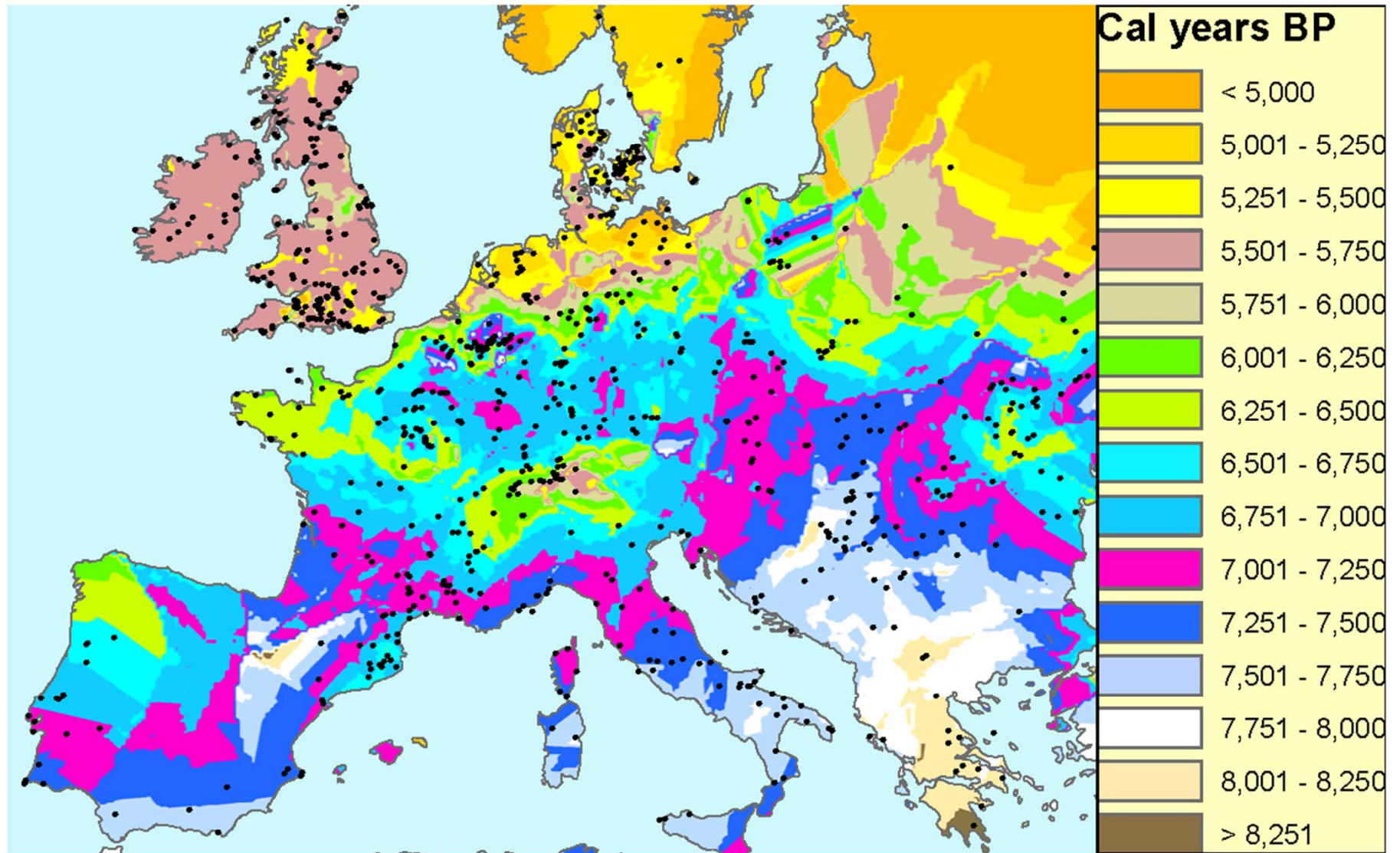
Effect of cultural diffusion in Europe

$$\text{Effect (\%)} = (\text{speed} - \text{demic speed}) / \text{speed} \cdot 100$$

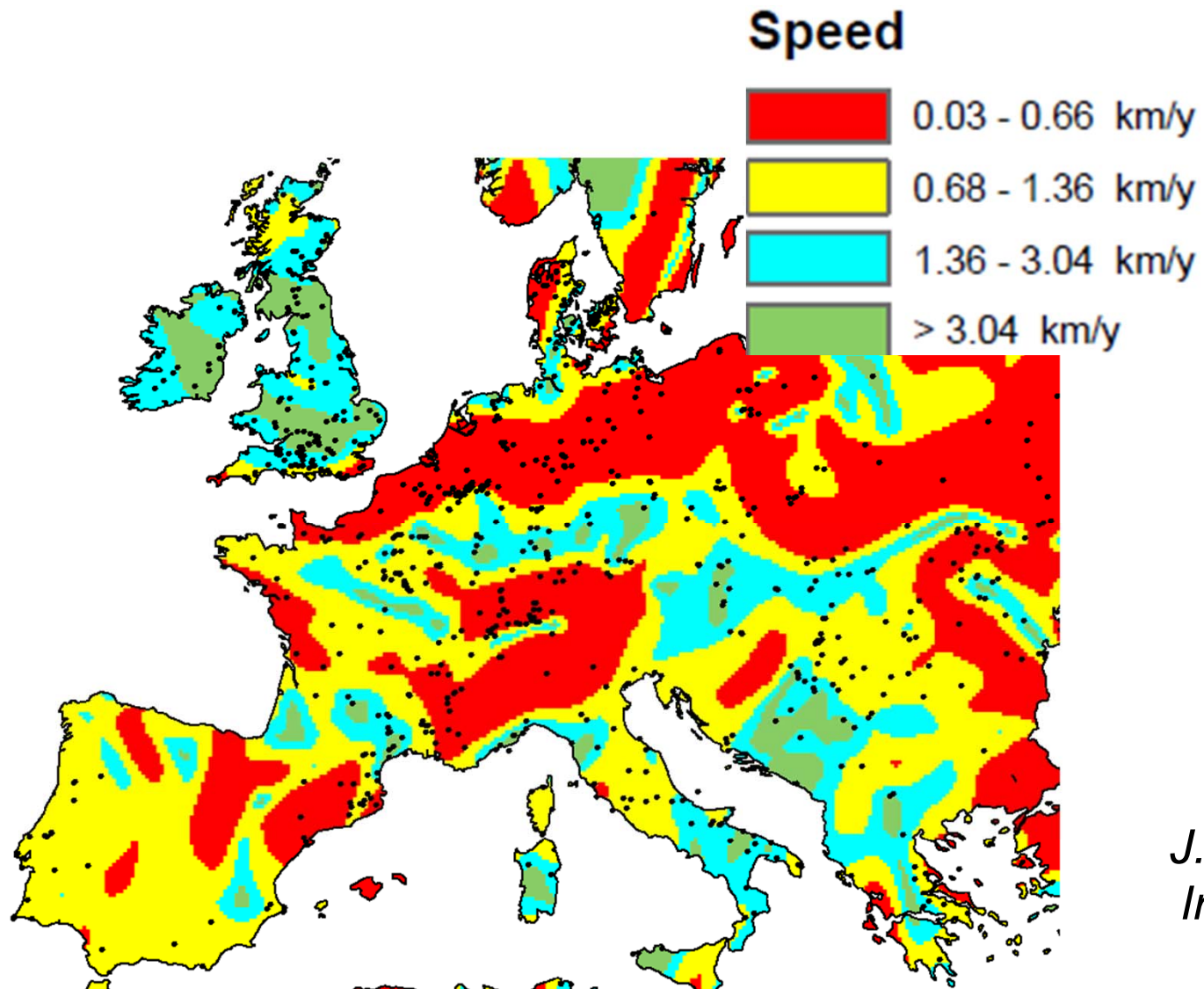


Fort,
PNAS
(2012)

Local features in Europe



Fort, *J. R. Soc. Interface* (2015)



Fort,
*J. R. Soc.
Interface*
(2015)

The previous maps show observed speeds.

What are the speeds from the models?

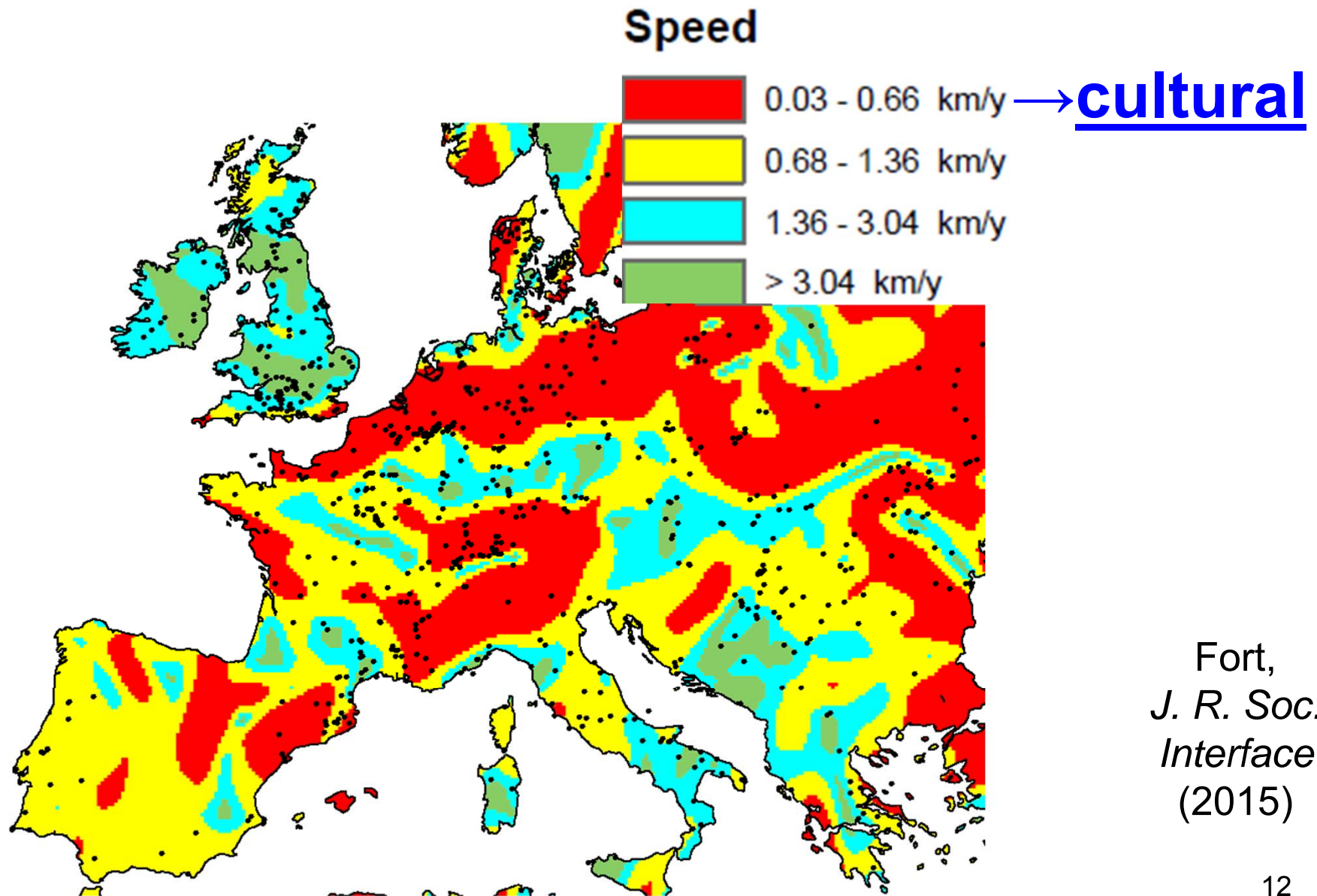
1. Purely cultural model
2. Purely demic model
3. Demic-cultural model

Purely cultural model

- Population 1 (Mbuti, band I): $\{P_k\}=\{0.59, 0.37, 0.04\}$,
 $\{R_k\}=\{2.5, 7.5, 12.5\}$ km \rightarrow **0.17-0.36 km/y.**
- Population 2 (Mbuti, band II): $\{P_k\}=\{0.12, 0.30, 0.43, 0.15\}$,
 $\{R_k\}=\{2.5, 7.5, 12.5, 17.5\}$ km \rightarrow **0.30-0.57 km/y.**
- Population 3 (Mbuti, band III): $\{P_k\}=\{0.20, 0.41, 0.26, 0.08, 0.05\}$,
 $\{R_k\}=\{2.5, 7.5, 12.5, 17.5, 22.5\}$ km \rightarrow **0.32-0.66 km/y. MAX**
- Population 4 (Aka): $\{P_k\}=\{0.12, 0.25, 0.11, 0.04, 0.03, 0.16, 0.05,$
 $0.05, 0.05, 0.14\}$, $\{R_k\}=\{0.05, 0.1, 0.2, 0.25, 0.3, 0.4, 2,3,5,6\}$ km
 \rightarrow **0.09-0.19 km/y.**
- Population 5 (Baka): $\{P_k\}=\{0.48, 0.04, 0.13, 0.14, 0.18, 0.03\}$,
 $\{R_k\}=\{0, 0.5, 0.8, 1.5, 1.7, 2.7\}$ km \rightarrow **0.03-0.07 km/y. MIN**

Overall range: **0.03-0.66 km/y** (cultural model)

Interpretation of the observed speeds



Fort,
*J. R. Soc.
Interface*
(2015)

Purely demic model

- Population A (Gilishi 15): $\{p_j\}=\{0.54, 0.17, 0.04, 0.25\}$,
 $\{r_j\}=\{2.4; 14.5, 36.3, 60.4\}$ km \rightarrow **0.87-1.15 km/y.**
- Population B (Gilishi 25): $\{p_j\}=\{0.40, 0.17, 0.17, 0.26\}$,
 $\{r_j\}=\{2.4; 14.5, 36.3, 60.4\}$ km \rightarrow **0.92-1.21 km/y.**
- Population C (Shiri 15): $\{p_j\}=\{0.19, 0.07, 0.22, 0.52\}$,
 $\{r_j\}=\{2.4; 14.5, 36.2, 60.4\}$ km \rightarrow **1.14-1.48 km/y. MAX**
- Population D (Yanomano): $\{p_j\}=\{0.19, 0.54, 0.17, 0.04, 0.04, 0.02\}$,
 $\{r_j\}=\{5, 30, 50, 70, 90, 110\}$ km \rightarrow **1.12-1.48 km/y.**
- Population E (Issongos): $\{p_j\}=\{0.42; 0.23; 0.16; 0.08; 0.07; 0.02; 0.01; 0.01\}$,
 $\{r_j\}=\{2.3, 7.3, 15, 25, 35, 45, 55, 100\}$ km \rightarrow **0.68-0.92 km/y. MIN**

Overall range: **0.68 -1.48 km/y** (purely demic model)

For 0.68 km/y, obviously 0% cultural.

But for 0.7, 0.8, 0.9, ... km/y, what is the cultural %?

s_{obs} = observed speed

s_D = speed predicted by the purely demic model

$$\text{Cultural effect (in \%)} = E = \frac{s_{obs} - s_D}{s_{obs}} 100$$

$$s_D \text{ min} = 0.68 \text{ km/y} \rightarrow E_{max} = \left(1 - \frac{0.68}{s_{obs}}\right) 100 \rightarrow$$

$E_{max} < 50\%$ if $s_{obs} \leq 1.36 \text{ km/y}$: mainly demic regions (yellow in the map)

Interpretation of the observed speeds

Speed



0.03 - 0.66 km/y → cultural



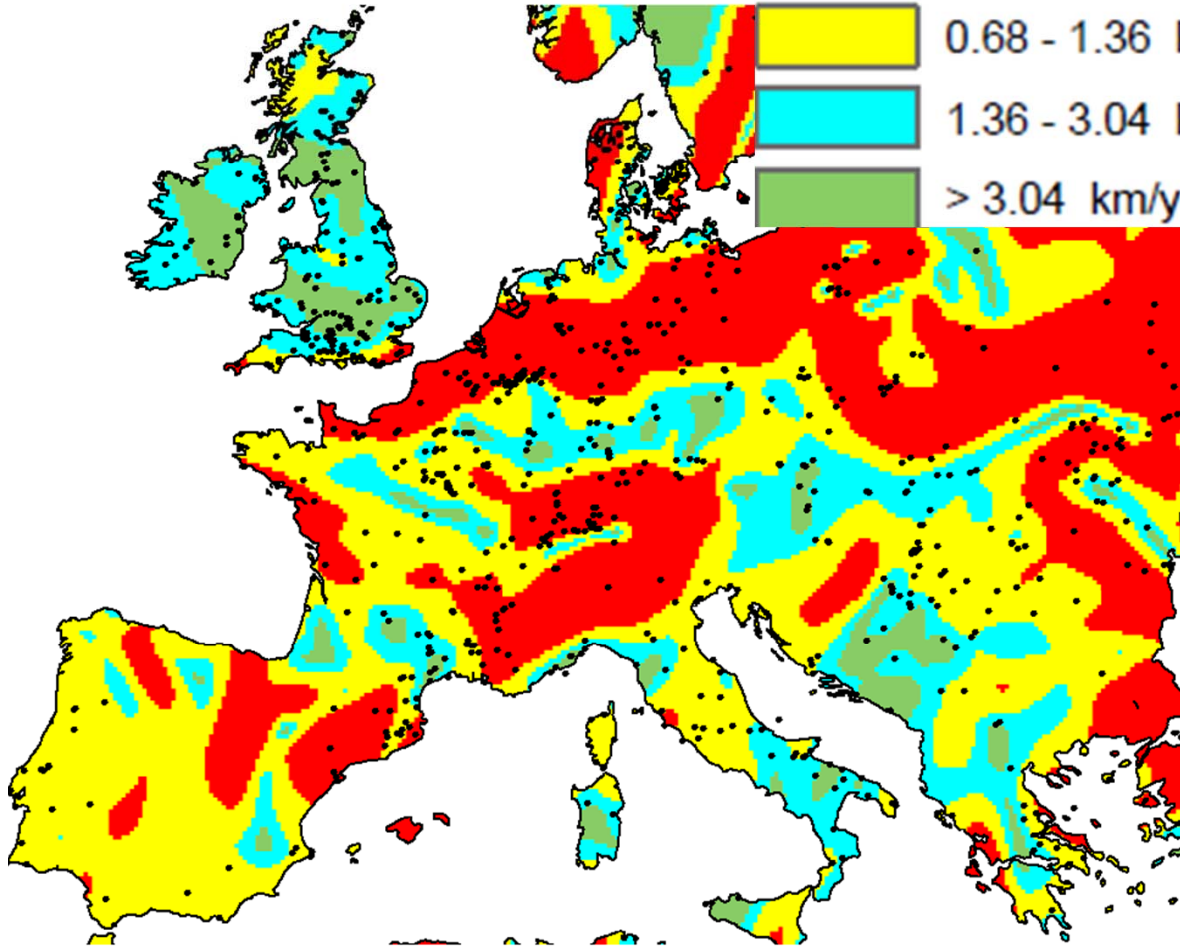
0.68 - 1.36 km/y → mainly demic



1.36 - 3.04 km/y → either mainly demic
or mainly cultural



> 3.04 km/y



↕
due to uncertainty
in the parameter
values

Interpretation of the observed speeds

- **Mainly demic diffusion** (yellow regions) was fast (speeds above 0.68 km/y). Areas: **Greece, Italy, the Balkans, Hungary, Slovakia, Czechia and central Germany**. This includes a substantial part of the Linearbandkeramic (LBK) culture in Central Europe*. It agrees with Bogucki (2003) and Shennan & Edinborough (2007).
- **Cultural diffusion** (red regions) was slow (speeds below 0.66 km/y). Areas: **Northern Europe, the Alps and West of the Black Sea**. This agrees, respectively, with Bogucki (1996), Clark (1990) and Anthony (2007).

*Kaczanowska M, Kozłowski JK (2003), Fig. 12.7

Semino *et al.*, Science (2000)

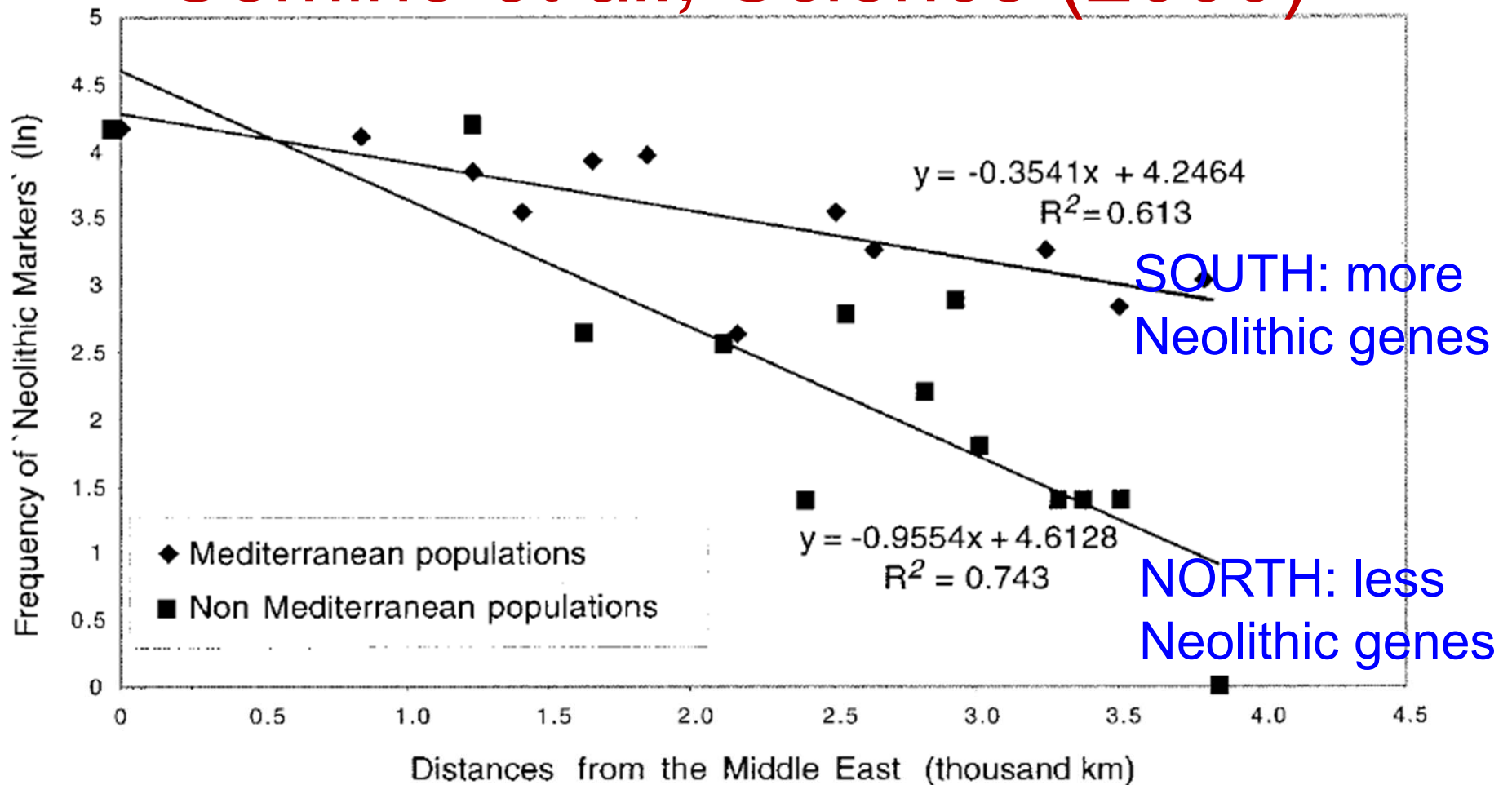


Fig. 2. Abscissa: distances in thousands of kilometers of each population from the average of the two Middle Eastern populations (Lebanese and Syrians). Ordinate: logarithm of relative frequencies of Neolithic markers (sum of Eu4, Eu9, Eu10, and Eu11) in the Mediterranean and non-Mediterranean populations. The Middle Eastern point ($X = 0$) was considered for both series of points. The two regression lines are significantly different ($P < 0.01$).

Open problem

These results use parameter values which are not fitted but estimated from independent data. But are the parameter values used realistic?

It would help a lot to measure prehistoric dispersal kernels, if possible:

- Strontium isotope: not accurate distances
- Genetics: identification of parent-child pairs?

Until we have accurate parameter values, the models can be useful but the conclusions are preliminary.